



AMERICAN INVESTORS GROUP, INC.

10237 Yellow Circle Drive
Minnetonka, MN 55343
(952) 945-9455

Account Application

Account Number: _____

- New Account (check one)
- Update

Years Known: _____

1. Account Registration: (Check One):

- | | | | |
|---|---|---|--------------------------------------|
| <input type="checkbox"/> Individual | <input type="checkbox"/> Joint Tenants with Rights of Survivorship | <input type="checkbox"/> Corporate* | <input type="checkbox"/> Non-Profit* |
| <input type="checkbox"/> Custodial | <input type="checkbox"/> Community Property | <input type="checkbox"/> Partnership* | <input type="checkbox"/> Trust* |
| <input type="checkbox"/> Investment Club* | <input type="checkbox"/> Pension/Profit Sharing Plan* | <input type="checkbox"/> Sole Proprietorship* | <input type="checkbox"/> Estate* |
| <input type="checkbox"/> IRA* | <input type="checkbox"/> Joint Tenants in Common (50%/50% unless otherwise noted ____% ____%) | | <input type="checkbox"/> TOD/POD |
- * Additional Paperwork May Be Required

2. Account Registration:

Full Legal Name: Individual/Corporation/Trust/IRA Trustee _____ Social Security Number _____

Full Legal Name: Co-Applicant/Minor/Trustees _____ Social Security Number _____

Home Address: (P.O. Box Unacceptable) _____ City _____ State _____ Zip _____ Length at Residence _____

Alternate Mailing Address (P.O. Box Acceptable) _____ City _____ State _____ Zip _____

Date of Birth _____ Date of Birth (Co-Applicant) _____ Daytime Phone _____ Evening Phone _____

Fax Number _____ E-mail Address _____ Name of your Bank _____

3. Customer Identification Program (CIP)

To help the United States fight the funding of terrorism and money laundering activities, Federal law requires us to obtain, verify and record information that identifies each person who opens an account with us.

Individuals: Driver's License Govt. or State Issued I.D. Passport **Entities:** Trust Agreement Dated: _____

Issuer: _____ Articles of Incorporation

I.D. Number: _____ Partnership Agreement

Date of Issuance: _____ Date of Expiration _____ **Other:** _____

4. Investor Information

Marital Status: Single Married Divorced Widowed Number of Dependents: _____ U.S. Citizen? Yes No*

Employment Information: (Please specify if unemployed, retired, homemaker or student. If unemployed or retired please indicate your former occupation)

Employer (If self-employed, please specify name of business.) _____ Occupation or former Occupation _____

Length of current Employment _____

Co-Applicant's Employment Information: (Please specify if unemployed, retired, homemaker or student. If unemployed or retired please indicate your former occupation)

Employer (If self-employed, please specify name of business.) _____ Occupation or former Occupation _____

Length of Current Employment _____

Continued on Back

American Investors Group, Inc. (10/14/03)

Office Use Only: ACCT # _____ CONS. ACCT # _____ LAST NAME: _____ FIRST NAME: _____ REP NO. _____ REP. LAST NAME: _____

4. Investor Information (Continued):

Investment Objectives (Check all that apply):

- Capital Preservation:** Preserving the value of your existing assets by investing in securities with a smaller degree of risk of loss of principal.
- Income:** Generating current income rather than generating capital appreciation.
- Growth:** Generating capital appreciation by investing in securities with a higher degree of volatility and risk of loss of principal, which will generate little if any current income.
- Speculation:** Trading volatile securities with a higher than average possibility of loss of principal with the hope of achieving significant capital appreciation.

Financial Information – Primary Applicant: **Check Here If You Are Combining Financial Information**

| Investment Experience (# of Years) | Estimated Annual Income | Estimated Net Worth | Estimated Liquid Net Worth (Cash, Bank C.D.'s, Liquid Securities) | Tax Bracket |
|---|---|---|---|--|
| <input type="checkbox"/> Stocks _____ <input type="checkbox"/> Bonds _____ <input type="checkbox"/> Mutual Funds _____ <input type="checkbox"/> Municipal Bonds _____ <input type="checkbox"/> Limited Partnerships _____ | <input type="checkbox"/> Under \$25,000 <input type="checkbox"/> \$25,001 - \$50,000 <input type="checkbox"/> \$50,001 - \$75,000 <input type="checkbox"/> \$75,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$175,000 <input type="checkbox"/> \$175,001 - \$250,000 <input type="checkbox"/> \$250,001 - \$500,000 <input type="checkbox"/> Over \$500,001 | <input type="checkbox"/> Under \$50,000 <input type="checkbox"/> \$50,000 - \$100,000 <input type="checkbox"/> \$100,001 - \$150,000 <input type="checkbox"/> \$150,001 - \$250,000 <input type="checkbox"/> \$250,001 - \$500,000 <input type="checkbox"/> \$500,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000 | <input type="checkbox"/> Under \$50,000 <input type="checkbox"/> \$50,000 - \$100,000 <input type="checkbox"/> \$100,001 - \$150,000 <input type="checkbox"/> \$150,001 - \$250,000 <input type="checkbox"/> \$250,001 - \$500,000 <input type="checkbox"/> \$500,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000 | <input type="checkbox"/> 10% <input type="checkbox"/> 15% <input type="checkbox"/> 25% <input type="checkbox"/> 28% <input type="checkbox"/> 33% <input type="checkbox"/> 35% |

Financial Information – Co-Applicant (If Applicable):

| Investment Experience (# of Years) | Estimated Annual Income | Estimated Net Worth | Estimated Liquid Net Worth (Cash, Bank C.D.'s, Liquid Securities) | Tax Bracket |
|---|---|---|---|--|
| <input type="checkbox"/> Stocks _____ <input type="checkbox"/> Bonds _____ <input type="checkbox"/> Mutual Funds _____ <input type="checkbox"/> Municipal Bonds _____ <input type="checkbox"/> Limited Partnerships _____ | <input type="checkbox"/> Under \$25,000 <input type="checkbox"/> \$25,001 - \$50,000 <input type="checkbox"/> \$50,001 - \$75,000 <input type="checkbox"/> \$75,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$150,000 <input type="checkbox"/> \$150,001 - \$250,000 <input type="checkbox"/> \$250,001 - \$500,000 <input type="checkbox"/> Over \$500,001 | <input type="checkbox"/> Under \$50,000 <input type="checkbox"/> \$50,000 - \$100,000 <input type="checkbox"/> \$100,001 - \$150,000 <input type="checkbox"/> \$150,001 - \$250,000 <input type="checkbox"/> \$250,001 - \$500,000 <input type="checkbox"/> \$500,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000 | <input type="checkbox"/> Under \$50,000 <input type="checkbox"/> \$50,000 - \$100,000 <input type="checkbox"/> \$100,001 - \$150,000 <input type="checkbox"/> \$150,001 - \$250,000 <input type="checkbox"/> \$250,001 - \$500,000 <input type="checkbox"/> \$500,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000 | <input type="checkbox"/> 10% <input type="checkbox"/> 15% <input type="checkbox"/> 25% <input type="checkbox"/> 28% <input type="checkbox"/> 33% <input type="checkbox"/> 35% |

5. Account Agreement (Please read and sign)

Certification of Taxpayer ID Number (Substitute W-9): Under penalty of perjury, you certify that (1) the number shown on this form is your correct taxpayer identification number and (2) you are not subject to backup withholding because (i) you are exempt from backup withholding, or (ii) you have not been notified by the Internal Revenue Service (IRS) that you are subject to backup withholding as a result of a failure to report all interest and dividends, or (iii) the IRS has notified you that you are no longer subject to backup withholding and (3) you are a U.S. person (including a U.S. resident alien).

Arbitration Agreement: The customer agrees, and by carrying an account for the customer, American Investors Group, Inc. agrees that all controversies which may arise between us concerning any transaction or the construction, performance, or breach of this or any other agreement between us pertaining to securities, whether entered into prior, on or subsequent to the date hereof, shall be determined by arbitration. Any arbitration under this agreement shall be conducted pursuant to the federal arbitration act before the National Association of Securities Dealers, Inc. in accordance with the rules then prevailing at the organization. Both parties agree that (i) arbitration is final and binding on the parties. (ii) The parties are waiving their right to seek remedies in court, including the right to jury trial. (iii) Pre-arbitration discovery is generally more limited than and different from court proceedings. (iv) The arbitrators' award is not required to include factual findings or legal reasoning and the party's right to appeal or seek modification of rulings by the arbitrators is strictly limited. (v) The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.

X _____ X _____
 Applicant's Signature (Date) Co-Applicant's Signature (Date)

FOR BROKER USE ONLY

Rep Last Name: _____ Rep #: _____

X _____ X _____
 Registered Representative Signature (Date) Principal's Signature (Date)